

More Problems.

1. Show that the sinc function $f : [0, 1] \rightarrow \mathbb{R}$ is continuous, where $f(x) = \begin{cases} \frac{\sin x}{x}, & \text{if } x > 0, \\ 1, & \text{if } x = 0. \end{cases}$

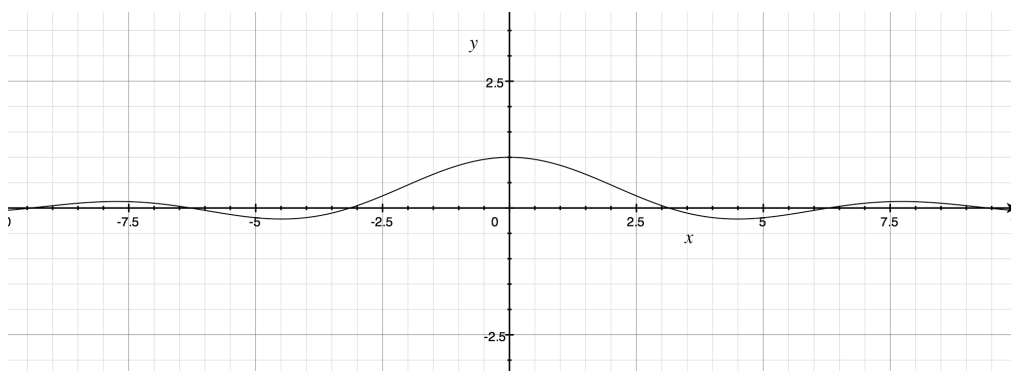


Figure 1: Sinc Function

Proof. We shall take as our starting point geometric inequalities satisfied by sine. $P = (\cos x, \sin x)$ is the coordinate of a point on the unit circle. The horizontal distance of P to the y -axis is at most one so $|\cos x| \leq 1$. The distance of P to the x -axis is the vertical distance, which is $|\sin x|$. This is less than the distance around the circle from $(1, 0)$ which is $|x|$. On the other hand, for $|x| < \frac{\pi}{2}$, the shortest curve from the positive x -axis to the ray \overrightarrow{OP} outside the unit circle is the arc of the circle of length $|x|$, which is less than the vertical path above $(1, 0)$ which has length $|\tan x|$. Thus, for $|x| < \frac{\pi}{2}$

$$|\sin x| \leq |x| \leq |\tan x| = \frac{|\sin x|}{|\cos x|} = \frac{|\sin x|}{\sqrt{1 - \sin^2 x}}.$$

Multiplying the last inequality

$$(1 - \sin^2 x)x^2 \leq \sin^2 x$$

so

$$x^2 \leq (1 + x^2) \sin^2 x.$$

Thus for $0 < x < \frac{\pi}{2}$,

$$\begin{aligned}
1 \geq \frac{\sin x}{x} &\geq \frac{1}{\sqrt{1+x^2}} \\
&= 1 + \frac{1 - \sqrt{1+x^2}}{\sqrt{1+x^2}} \\
&= 1 + \frac{(1 - \sqrt{1+x^2})(1 + \sqrt{1+x^2})}{\sqrt{1+x^2}(1 + \sqrt{1+x^2})} \\
&= 1 + \frac{1 - (1+x^2)}{\sqrt{1+x^2}(1 + \sqrt{1+x^2})} \\
&= 1 - \frac{x^2}{\sqrt{1+x^2}(1 + \sqrt{1+x^2})} \\
&\geq 1 - \frac{x^2}{\sqrt{1+0^2}(1 + \sqrt{1+0^2})} \\
&= 1 - \frac{x^2}{2}
\end{aligned} \tag{1}$$

Thus for $0 < x < \frac{\pi}{2}$,

$$\left| \frac{\sin x}{x} - 1 \right| \leq \frac{x^2}{2}. \tag{2}$$

The inequality between sines at two numbers will follow later on in the course from knowing that sine has bounded derivative, or that it is the integral of a bounded function. For now we will content ourselves with the inequality above and trig identities. For $x, y \in \mathbb{R}$, using the addition formulae,

$$\begin{aligned}
\sin x - \sin y &= \sin\left(\frac{x+y}{2} + \frac{x-y}{2}\right) - \sin\left(\frac{x+y}{2} - \frac{x-y}{2}\right) \\
&= \sin\left(\frac{x+y}{2}\right) \cos\left(\frac{x-y}{2}\right) + \cos\left(\frac{x+y}{2}\right) \sin\left(\frac{x-y}{2}\right) \\
&\quad - \sin\left(\frac{x+y}{2}\right) \cos\left(\frac{x-y}{2}\right) + \cos\left(\frac{x+y}{2}\right) \sin\left(\frac{x-y}{2}\right) \\
&= 2 \cos\left(\frac{x+y}{2}\right) \sin\left(\frac{x-y}{2}\right)
\end{aligned}$$

Thus for $x, y \in \mathbb{R}$,

$$|\sin x - \sin y| \leq 2 \left| \cos\left(\frac{x+y}{2}\right) \right| \left| \sin\left(\frac{x-y}{2}\right) \right| \leq 2 \cdot 1 \cdot \left| \frac{x-y}{2} \right| = |x - y|. \tag{3}$$

Finally, the inequality between sinc functions at different points follows by sneaking in a cross term. For $0 < x, y$, by (3),

$$\begin{aligned}
\left| \frac{\sin x}{x} - \frac{\sin y}{y} \right| &= \left| \frac{\sin x}{x} - \frac{\sin x}{y} + \frac{\sin x}{y} - \frac{\sin y}{y} \right| \\
&\leq \left| \frac{\sin x}{x} - \frac{\sin x}{y} \right| + \left| \frac{\sin x}{y} - \frac{\sin y}{y} \right| \\
&= |\sin x| \left| \frac{1}{x} - \frac{1}{y} \right| + \frac{1}{|y|} |\sin x - \sin y| \\
&= |\sin x| \left| \frac{y-x}{xy} \right| + \frac{1}{|y|} |\sin x - \sin y| \\
&\leq \frac{|\sin x|}{|x|} \frac{|y-x|}{|y|} + \frac{1}{|y|} |x - y|
\end{aligned}$$

Hence for $0 < x, y$,

$$\left| \frac{\sin x}{x} - \frac{\sin y}{y} \right| \leq \frac{2}{|y|} |y - x|. \tag{4}$$

Now the main part of the proof may begin. Choose $a \in [0, 1]$. We argue two cases: $a = 0$ and $a > 0$ separately.

In case $a = 0$, choose $\varepsilon > 0$. Let $\delta = \sqrt{2\varepsilon}$. If $x \in [0, 1]$ such that $|a - x| < \delta$, then if $0 < x$, by (2),

$$|f(x) - f(a)| = \left| \frac{\sin x}{x} - 1 \right| \leq \frac{x^2}{2} < \frac{(\sqrt{2\varepsilon})^2}{2} = \varepsilon.$$

If $x = 0$ then $|f(x) - f(a)| = |1 - 1| = 0 < \varepsilon$. Thus for every $x \in [0, 1]$ with $|a - x| < \delta$ we have $|f(x) - f(a)| < \varepsilon$, completing the proof that f is continuous at $a = 0$.

In case $a > 0$, choose $\varepsilon > 0$. Let $\delta = \min\{a, \frac{a\varepsilon}{2}\}$. Then choose $x \in [0, 1]$ so that $|x - a| < \delta$. But this implies $x = a + x - a \geq x - |a - x| > a - a = 0$ so $x > 0$ also. By (4),

$$|f(x) - f(a)| = \left| \frac{\sin x}{x} - \frac{\sin a}{a} \right| \leq \frac{2}{|a|} |x - a| < \frac{2}{|a|} \frac{a\varepsilon}{2} = \varepsilon,$$

completing the proof that f is continuous at $a > 0$. □

2. Show that the sinc function $g(x) = \frac{\sin x}{x}$ is uniformly continuous on $(0, 1)$.

We observe that the function $f : [0, 1] \rightarrow \mathbb{R}$ from Problem (1) is an extension of g , i.e., $f(x) = g(x)$ for all $x \in (0, 1)$. We showed there that f is continuous on $[0, 1]$. By the theorem that says that any function $f : I \rightarrow \mathbb{R}$ that is continuous on a closed and bounded interval is also uniformly continuous, we have that f is uniformly continuous on $I = [0, 1]$. But if a function is uniformly continuous on a set, it is automatically uniformly continuous on a subset. Thus f is uniformly continuous on $(0, 1)$. But $g = f$ when restricted to $(0, 1)$, so g is uniformly continuous on $(0, 1)$.

3. Using only the definition of uniform continuity, show that the sinc function $g(x) = \frac{\sin x}{x}$ is uniformly continuous on $(0, 1)$.

The continuity proof from problem (1) cannot be used because the δ there depends on a and tends to zero as $a \rightarrow 0$. If δ had a positive minimum on $[0, 1]$ then that would prove the uniform continuity. In fact, by using our inequalities more carefully, we can recover a uniform δ rather like the proof that \sqrt{x} is uniformly continuous on $[0, \infty)$.

To begin the proof, choose $\varepsilon > 0$. Let $\delta = \min\{\frac{\sqrt{\varepsilon}}{2}, \frac{\varepsilon^{3/2}}{4}\}$. Now choose $x, y \in (0, 1)$ such that $|x - y| < \delta$. One of the two numbers is smaller, so after swapping if necessary, we may suppose that $x \leq y$. The argument will be done in two parts: in case $x < \frac{\sqrt{\varepsilon}}{2}$ or in case $x \geq \frac{\sqrt{\varepsilon}}{2}$.

In case $x < \frac{\sqrt{\varepsilon}}{2}$, we have $y = x + y - x \leq x + |y - x| < x + \delta \leq \frac{\sqrt{\varepsilon}}{2} + \frac{\sqrt{\varepsilon}}{2} = \sqrt{\varepsilon}$. The inequalities (1) say

$$\begin{aligned} 1 - \frac{\varepsilon}{8} &< 1 - \frac{x^2}{2} \leq \frac{\sin x}{x} < 1, \\ -1 &< -\frac{\sin y}{y} \leq -1 + \frac{y^2}{2} < -1 + \frac{\varepsilon}{2}. \end{aligned}$$

Adding

$$-\frac{\varepsilon}{8} < \frac{\sin x}{x} - \frac{\sin y}{y} < \frac{\varepsilon}{2}$$

which implies

$$\left| \frac{\sin x}{x} - \frac{\sin y}{y} \right| < \varepsilon.$$

In case $x \geq \frac{\sqrt{\varepsilon}}{2}$ so also $y \geq x \geq \frac{\sqrt{\varepsilon}}{2}$ we use (4) instead.

$$\left| \frac{\sin x}{x} - \frac{\sin y}{y} \right| \leq \frac{2}{|y|} |y - x| < \frac{4\delta}{\sqrt{\varepsilon}} \leq \frac{4}{\sqrt{\varepsilon}} \cdot \frac{\varepsilon^{3/2}}{4} = \varepsilon.$$

Thus we have shown in both cases that if $x, y \in (0, 1)$ such that $|x - y| < \delta$ then

$$|g(x) - g(y)| = \left| \frac{\sin x}{x} - \frac{\sin y}{y} \right| < \varepsilon.$$

hence $g(x)$ is uniformly continuous on $(0, 1)$. □